

RECEIVED^{p.01}
CENTRAL FAX CENTER

Application No. 09/696,071

RD-28030

APR 16 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

In re the Application of

James N. CAWSE

Group Art Unit: 1631

Application No.: 09/696,071

Examiner: Carolyn L. Smith

Filed: October 25, 2000

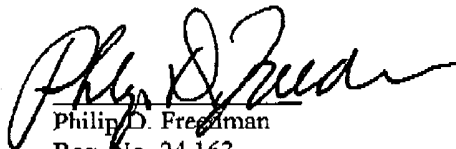
For: METHOD FOR DEFINING AN EXPERIMENTAL SPACE AND METHOD
AND SYSTEM FOR CONDUCTING COMBINATORIAL HIGH THROUGHPUT
SCREENING OF MIXTURES

FACSIMILE TRANSMITTAL COVER SHEET

To: Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

When facsimile receipt is returned with this cover sheet, the USPTO
acknowledges receiving the Issue Fee Transmittal form (with two copies) authorizing
charge of the Issue Fee to deposit account 070868.

Respectfully submitted,



Philip D. Freedman
Reg. No. 24,163
Philip D. Freedman PC
Customer Number 25101
P.O. Box 19076
Alexandria, VA 22320
(703) 313-0171
Fax: (703) 706-5327
Email: tekesq@tekesq.com

Alexandria, Virginia
16 APR 2004

PAGE 2/4 * RCVD AT 4/16/2004 8:25:01 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:703 706 5327 * DURATION (mm-ss):02-06

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if applicable). Blocks 1 through 4 should be completed where applicable. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated on this form.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590

03/10/2004

Philip D. Freedman
P.O. Box 19076
Alexandria, VA 22320

COPY

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying documents. Each additional paper such as an assignment or formal drawing, must be accompanied by a separate certificate of mailing.

Certificate of Mailing or Transmittal

This document is being deposited with the United States Patent and Trademark Office, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY/DOCKET NO.	CONFIRMATION NO.
09/696,071	10/25/2000	James Norman Caruso	RD-28,030	3513

TITLE OF INVENTION: METHOD FOR DEFINING AN EXPERIMENTAL SPACE AND METHOD AND SYSTEM FOR CONDUCTING COMBINATORIAL HIGH THROUGHPUT SCREENING OF MIXTURES

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	06/10/2004

EXAMINER	ART UNIT	CLASS-600CLASS
SMITH, CAROLYN L	1631	702-022000

1 Change of correspondence address or indication of "Fee Address" (37 CFR 1.303).

- ☐ Change of correspondence address (or Change of Correspondence Address form)
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47-Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2 For printing on the patent front page, list (1) the name of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Andrew J. Caruso

Philip D. Freedman

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

General Electric Company

Schenectady, NY

Please check the appropriate assignee category or categories (will, not be printed on the patent): ☐ Individual ☒ A corporation or other private group entity ☐ A government

4a. The following fee(s) are enclosed.

☒ Issue Fee☐ Publication Fee

U Advance Order - # of Copies

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby notified that the fee(s) are enclosed. Deposit Account Number 070868 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) Philip D. Freedman (Date) 16 APR 2004
NOTES: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the Applicant; Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311 - The information is required to application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.311. Estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the SPTO. Time will be provided for suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, VA, in a 22313-1450. DO NOT SEND FE-SOR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no collection of information unless it displays a valid O control number.

TRANSMIT THIS FORM WITH FEE(S)

PTOL-85 (Rev. 11/03) Approved for use through 04/30/2004.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if applicable). Blocks I through 4 should be completed where applicable. All further correspondence including the Patent, advance orders and notification of maintenance fee(s) should be mailed to the current correspondence address as indicated on the front of the patent.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with initials or use Block I)

Note: A certificate of mailing can only be used for domestic mailings of the Patent(s) Transmittal. This certificate cannot be used for any other accompanying documents. Each additional paper such as an assignment or formal drawing, must be accompanied by a separate certificate of mailing.

7990

03/10/2004

Philip D. Freedman
P.O. Box 19076
Alexandria, VA 22320

COPY

Certificate of Mailing or Transmittal
This Patent(s) Transmittal is being deposited with the United States Patent and Trademark Office, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/096,071	10/25/2000	James Norman Cawec	RD-28,030	3513

TITLE OF INVENTION: METHOD FOR DEFINING AN EXPERIMENTAL SPACE AND METHOD AND SYSTEM FOR CONDUCTING COMBINATORIAL HIGH THROUGHPUT SCREENING OF MIXTURES

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	06/10/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
SMITH, CAROLYN L.	1631	702-022000

1 Change of correspondence address or indication of "Fee Address" (37 C.F.R. 1.333).

- ☐ Change of correspondence address (or Change of Correspondence Address form)
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/BP/47-Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2 For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Andrew J. Caruso

Philip D. Freedman

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

General Electric Company

Schenectady, NY

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ A corporation or other private group entity ☐ A government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee☐ Advance Order - # of Copies _____

4b. Payment of fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO 2038 is attached.

☒ The Director is the required fee(s), or credit any overpayment, to Deposit Account Number 070868 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

Philip D. Freedman

(Date)

16 APR 2004

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the inventor; Patent and Trademark Office.

This collection of information is required by 37 C.F.R. 1.111. The information is required to estimate the time to publish the patent. Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.111. The information is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the information. The information should be submitted to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no collection of information unless it displays a valid O control number.

TRANSMIT THIS FORM WITH FEE(S)

PTOL-85 (Rev. 11/03) Approved for use through 04/30/2004

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE